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Patent  
Attorney Docket: 265/158

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventor: Aluizio M. Cruz

Serial No.: 09/884,522

Filed: June 18, 2001

For: APPARATUS AND METHOD FOR  
TRANSMITTING IMAGES OVER A  
SINGLE-FILAMENT FIBER OPTIC  
CABLE



Group Art Unit: 2851

Examiner: Not Yet Assigned

TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on July 12, 2001, enclosed are:

- Declaration
- Assignment of the invention to Vertical Computer Systems, Inc. (together with PTO Form 1595)

OC-91262.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Micheal Smith

Name of Person Mailing Paper

Signature of Person Mailing Paper

September 7, 2001  
Date of Deposit

- Power of Attorney:  Separate or  Combined with Declaration
- 9 Sheets of Substitute Drawings in compliance with 37 C.F.R. § 1.84
- An Information Disclosure Statement, PTO 1449, with copies of cited items
- A copy of the Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR § 1.53(b)

## II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/>	one month	\$55.00
<input type="checkbox"/>	two months	\$195.00
<input type="checkbox"/>	three months	\$445.00
<input type="checkbox"/>	four months	\$695.00
<input type="checkbox"/>	five months	\$945.00

### Fee

- If any extension fee is required, please consider this a petition therefor.

## III. FILING FEES

- Applicant claims small entity status pursuant to 37 CFR 1.27.

<b>BASIC FILING FEE:</b>						\$710.00	
Total Claims	46	-	20	=	26	x \$18.00	\$468.00
Independent Claims	6	-	3	=	3	x \$80.00	\$240.00
Multiple Dependent Claims	\$270	(if applicable)			<input type="checkbox"/>	\$0.00	
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)			<input checked="" type="checkbox"/>	\$130.00	
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$1,548.00	
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.					<input checked="" type="checkbox"/>	\$774.00	
Extension of Time (from above)						\$0.00	
Assignment -- \$40 (if applicable)					<input checked="" type="checkbox"/>	\$40.00	
<b>TOTAL FEES SUBMITTED HEREWITH</b>						\$814.00	

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**IV. METHOD OF PAYMENT OF FEES:**

- A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).
- Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of **\$814.00**.
- The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

By: Davin M. Stockwell  
Davin M. Stockwell  
Reg. No. 41,334

Dated: September 7, 2001



**22249**

PATENT TRADEMARK OFFICE

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633 W. Fifth Street  
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PATENT TRADEMARK OFFICE



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/884,522	06/18/2001	Aluizio M. Cruz	265/158

## CONFIRMATION NO. 3110

22249  
LYON & LYON LLP  
SUITE 4700  
633 WEST FIFTH STREET  
LOS ANGELES, CA 90071-2066

## FORMALITIES LETTER



\*OC00000006291724\*

Date Mailed: 07/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/13/2001 BABRAHAI 0001CC15 122475 09084322

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## FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE